

# THE TOP FIVE EXECUTION VENUES PER CLASS OF FINANCIAL INSTRUMENT

## (TOP-5 REPORT)

31.03.2022

### Important information

The information in this document covers the period from 1 Jan until 31. Dec 201. The data are related to the reporting date and do not allow conclusions to be drawn about subsequent periods.

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Further information on the implementation principles of the ICM Investment Bank AG can be found on the homepage ([https://i-c-m.de/Implementation\\_principles](https://i-c-m.de/Implementation_principles)).

Class of the financial instrument	Equities Shares and Depository Receipts	
Notification if < 1 average trade per business day in the previous year was carried out	Yes	
Top five custodian banks (execution venues) ranked in terms of trading volumes  (in descending order of trade volume)	Proportion of trade volume as a percentage of total volume in that class	Proportion of orders executed as percentage of the total in that class
Baader Bank	0,480%	2,300%
Bethmann Bank	0,870%	0,110%
DAB bank AG	83,900%	93,000%
UBS Bank	0,070%	0,050%
V-Bank	14,680%	4,540%

Class of the financial instrument	Debt instruments	
Notification if < 1 average trade per business day in the previous year was carried out	Yes	
Top five custodian banks (execution venues) ranked in terms of trading volumes  (in descending order of trade volume)	Proportion of trade volume as a percentage of total volume in that class	Proportion of orders executed as percentage of the total in that class
DAB bank AG	99,980%	99,950%
V-Bank	0,020%	0,050%

Class of the financial instrument	Derivatives Interest rate /Credit /Currency derivatives	
Notification if < 1 average trade per business day in the previous year was carried out	Yes	
Top five custodian banks (execution venues) ranked in terms of trading volumes  (in descending order of trade volume)	Proportion of trade volume as a percentage of total volume in that class	Proportion of orders executed as percentage of the total in that class
DAB bank AG	100,000%	100,000%

Class of the financial instrument	<b>Structured finance instruments</b>	
Notification if < 1 average trade per business day in the previous year was carried out	<b>Yes</b>	
Top five custodian banks (execution venues) ranked in terms of trading volumes  (in descending order of trade volume)	Proportion of trade volume as a percentage of total volume in that class	Proportion of orders executed as percentage of the total in that class
DAB bank AG	99,860%	99,600%
V-Bank	0,140%	0,400%

Class of the financial instrument	<b>Equity derivatives</b>	
Notification if < 1 average trade per business day in the previous year was carried out	<b>Yes</b>	
Top five custodian banks (execution venues) ranked in terms of trading volumes  (in descending order of trade volume)	Proportion of trade volume as a percentage of total volume in that class	Proportion of orders executed as percentage of the total in that class
Bethmann Bank	23,600%	2,110%
DAB bank AG	54,640%	96,410%
V-Bank	21,750%	1,480%

Class of the financial instrument	<b>Commodity derivatives</b>	
Notification if < 1 average trade per business day in the previous year was carried out	<b>No</b>	
Top five custodian banks (execution venues) ranked in terms of trading volumes  (in descending order of trade volume)	Proportion of trade volume as a percentage of total volume in that class	Proportion of orders executed as percentage of the total in that class
Baader Bank	0,000%	0,000%
Bethmann Bank	0,000%	0,000%
BinckBank	0,000%	0,000%
DAB bank AG	0,000%	0,000%
UBS Bank	0,000%	0,000%
V-Bank	0,000%	0,000%

Class of the financial instrument	Exchange traded products	
Notification if < 1 average trade per business day in the previous year was carried out	Yes	
Top five custodian banks (execution venues) ranked in terms of trading volumes  (in descending order of trade volume)	Proportion of trade volume as a percentage of total volume in that class	Proportion of orders executed as percentage of the total in that class
Baader Bank	0,140%	0,270%
Bethmann Bank	0,220%	0,440%
DAB bank AG	69,340%	74,540%
UBS Bank	0,990%	0,270%
V-Bank	29,300%	24,490%
UBS Europe SE	6,52%	5,65%

Class of the financial instrument	Other instruments	
Notification if < 1 average trade per business day in the previous year was carried out	Yes	
Top five custodian banks (execution venues) ranked in terms of trading volumes  (in descending order of trade volume)	Proportion of trade volume as a percentage of total volume in that class	Proportion of orders executed as percentage of the total in that class
Baader Bank	0,160%	0,580%
DAB bank AG	74,800%	86,430%
V-Bank	25,040%	12,990%

All custodian banks have ensured a sufficient execution quality in the course of the calendar year 2021